

1010 Rec'd PCT/PTO 22 MAR 2002

dc-304666*FORM PTO-1390
TRADEMARK OFFICE
(REV 11-2000)

U.S. DEPARTMENT OF COMMERCE PATENT AND

ATTORNEY'S DOCKET NUMBER

**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. § 371**

449122023000

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

10/088734
Not yet assigned

INTERNATIONAL APPLICATION NO

INTERNATIONAL FILING DATE

PRIORITY DATE CLAIMED

PCT/DE00/03320

September 20, 2000

September 22, 1999

TITLE OF INVENTION

DEVICE AND METHOD FOR SAVING MOTIVE ENERGY IN RAIL VEHICLES

APPLICANT(S) FOR DO/EO/US

Torsten BAIER

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information

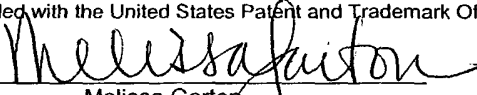
1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below
4. ☒ The US has been elected by the expiration of 19 months from the priority date (PCT Article 31)
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☒ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☒ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)
6. ☐ An English language translation of the International Application under PCT Article 19 (35 U.S.C. 371(c)(2)).
 - a. ☐ is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3))
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

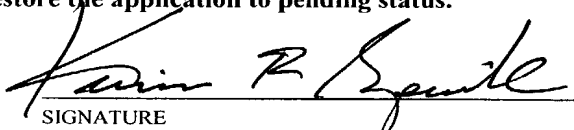
Items 11. to 16. below concern document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A FIRST preliminary amendment.
14. ☐ A SECOND or SUBSEQUENT preliminary amendment.
15. ☐ A substitute specification
16. ☐ A change of power of attorney and/or address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☒ Other items: 1) Application Data Sheet; 2) Int'l Search Report; 3) IPER; 4) Return receipt postcard.

CERTIFICATE OF HAND DELIVERY

I hereby certify that this correspondence is being hand filed with the United States Patent and Trademark Office in Washington, D.C. on March 22, 2002.


Melissa Garton

U.S. APPLICATION NO. (if known, see 37 CFR 1.5)		INTERNATIONAL APPLICATION NO.		ATTORNEY DOCKET NO.	
Not yet assigned		PCT/DE00/03320		449122023000	
10/088734					
21. <input checked="" type="checkbox"/> The following fees are submitted: BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)): Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO.....\$1,040.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO.....\$890.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO.....\$740.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provision of PCT Article 33(1)-(4)\$710.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4)\$100.00				CALCULATIONS PTO USE ONLY	
ENTER APPROPRIATE BASIC FEE AMOUNT =				\$890.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$0	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	- 20 =		x \$18.00	\$0	
Independent claims	- 3 =		x \$84.00	\$0	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$280.00	\$0	
TOTAL OF ABOVE CALCULATIONS =				\$890.00	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$0	
SUBTOTAL =				\$890.00	
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				+	\$0
TOTAL NATIONAL FEE =				\$890.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				+	\$40.00
TOTAL FEES ENCLOSED =				\$930.00	
				Amount to be refunded:	\$
				charged:	\$
a. <input checked="" type="checkbox"/> Please charge my <u>Deposit Account No. 03-1952</u> (referencing Docket No. 449122023000) in the amount of \$930.00 to cover the above fees. A duplicate copy of this sheet is enclosed.					
b. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees that may be required, or credit any overpayment to <u>Deposit Account No. 03-1952</u> (referencing Docket No. 449122023000).					
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.					
SEND ALL CORRESPONDENCE TO:					
Kevin R. Spivak Morrison & Foerster LLP 2000 Pennsylvania Avenue, N.W. Washington, D.C. 20006-1888					
 SIGNATURE					
Kevin R. Spivak Registration No. 43,148					
March 22, 2002					

Application Data Sheet

Inventor Information

Inventor One Given Name: Torsten
Family Name: BAIER
Name Suffix:
Postal Address Line One: Jahnstr. 14B
Postal Address Line Two:
City: Schladen
State or Province: Germany
Postal or Zip Code: 38315
Citizenship Country: GERMANY

Correspondence Information

Name Line One: Kevin R. Spivak
Name Line Two: Morrison & Foerster LLP
Address Line One: 2000 Pennsylvania Avenue, N.W.
Address Line Two: Suite 5500
City: Washington
State or Province: DC
Postal or Zip Code: 20006-1888
Telephone: 202-887-6924
Fax: 202-263-8396
Electronic Mail: KSpivak@mofo.com

Application Information

Title Line One: DEVICE AND METHOD FOR SAVING
Title Line Two: MOTIVE ENERGY IN RAIL VEHICLES
Title Line Three:
Total Drawing Sheets: 1
Formal Drawings?: yes
Application Type: National Phase
Docket Number: 449122023000

Representative Information

Representative Customer Number: 25227

Continuity Information

This application is a: 371 of
> Application One: PCT/DE00/03320
Filing Date: September 20, 2000

Prior Foreign Applications

Foreign Application One: 19946224.0
Filing Date: September 22, 1999
Country: Germany
Priority Claimed: yes

17-09-2001

- 17 -

DE0003320

characterized in that

- the control unit (1) is designed such that it
 - first of all calculates an auxiliary switching-off time, taking account of the determined distance, the determined remaining traveling time, the speed measured value (V) which indicates the speed of the rail vehicle, and predetermined coasting data (AD), which describes the coasting behavior of the rail vehicle when the drive is switched off, from which auxiliary switching-off time the rail vehicle will reach the intended next stop on time in accordance with the respective timetable without being driven, and then
 - forms the difference between the auxiliary switching-off time and the delay value to determine an advanced drive switching-off time, and treats the advanced drive switching-off time as the recommended drive switching-off time.

6. The device as claimed in one of the preceding claims,
characterized in that

- the control unit (10) is designed such that it determines the recommended drive switching-off time by additionally taking into account a predetermined braking profile and a predetermined minimum speed which, if undershot, would result in the rail vehicle being braked in accordance with the predetermined braking profile in the phase when it is approaching the next stop without being driven.

7. A method for producing a switching-off signal, in which

10/088734

WO 0121465

PCT/DE00/03320

Description

Rec'd PCT/PTO 19 JUL 2002

Device and method for saving traction energy in rail vehicles

5

The invention relates to a device for a rail vehicle having a control unit which uses a measured location measured value, which indicates the location of the rail vehicle, and predetermined, stored route data, to
10 determine the distance of the rail vehicle from the respective intended next stop, uses a measured time measured value, which indicates the respective time, and a predetermined stored timetable to determine the remaining traveling time to the next stop, and forms a
15 recommended drive switching-off time taking account of the determined distance, the determined remaining traveling time, a speed measured value which indicates the speed of the rail vehicle and predetermined coasting data which describes the coasting behavior of
20 the rail vehicle when the drive is switched off, from which drive switching-off time the rail vehicle will reach the intended next stop on time in accordance with the respective timetable without being driven, and having an output device, which is connected to the
25 control unit, is driven by it, and produces a switching-off signal which indicates the recommended drive switching-off time.

A device such as this is known from US Patent
30 Specification 5,239,472 and is used to save traction energy in rail vehicles. This device has a microprocessor as the control unit, which uses a location measured value, which is detected by a distance measurement device, and route data, which is
35 stored in a memory (storage), to determine the distance between the

30 The invention is based on the object of further
developing a device of the type described initially
such that discrepancies between the actual vehicle
behavior and the recommended vehicle behavior can be
35 detected reliably by means of this device.

One major advantage of the device according to the invention is that it makes it possible to detect discrepancies between the actual vehicle behavior of the rail vehicle and the recommended vehicle behavior; this is because the device according to the invention has a data input at which an actual value signal, which indicates the actual drive switching-off time, can be entered into the device. When this actual value signal is present, the control unit of the device according to the invention can thus store the actual drive switching-off time and the calculated recommended drive switching-off time and/or data signals which indicate these times, in its memory, for subsequent evaluation.

In order to allow discrepancies in the vehicle behavior to be determined quantitatively in the device according to the invention as well, the invention provides that the control unit is designed such that it forms a time difference value by forming the difference between the actual drive switching-off time and the respectively associated recommended drive switching-off time.

WO 01/21465

PCT/DE00/03320

- 4 -

In some circumstances, a situation may arise in which the rail vehicle driver does not switch off the drive to the rail vehicle without delay despite appropriate signaling by means of the switching-off signal from the output device, so that a considerable time difference occurs between the recommended drive switching-off time and the actual drive switching-off time, and the desirable energy saving from switching off the drive is reduced or, in some circumstances, is even largely cancelled out. In order to signal this to the rail vehicle driver, a first advantageous development of the device according to the invention proposes that the control unit has an output and is designed such that it produces a warning signal at its output when the time difference value exceeds a predetermined threshold value. In this development, the rail vehicle driver is made aware of the time delay, so that he can specifically improve his driving behavior; if, on the other hand, the delay is due to a technical reason in the rail vehicle, then, if the warning signals occur once or more, the device and/or the drive controller for the rail vehicle must be technically inspected and/or serviced.

A second advantageous development of the device according to the invention provides for the control unit to be designed such that it forms a delay value using at least the respectively most recently formed time difference value, and determines the respectively most recent recommended drive switching-off time furthermore taking into account this delay value which has been formed. In this second development, the switching-off signal is thus formed using a delay value; this delay value advantageously allows, for example, the reaction time (which is always present) of the rail vehicle driver

In order to achieve short traveling times for the rail vehicle overall, it is generally necessary to avoid the rail vehicle coming to rest just by coasting to the stop since, specifically, in some circumstances coasting at very low speeds may cost a large amount of time. For this reason, the rail vehicle is generally braked in accordance with a predetermined braking profile on reaching a minimum speed. In

WO 01/21465

PCT/DE00/03320

- 5a -

order to take account of this situation, one development of the device according to the invention

5

10

15

25

35

WO 01/21465

PCT/DE00/03320

- 7a -

comply are permanently stored. Furthermore, the memory
25 contains coasting

30 The control unit 10 then compares the time difference value Δt with a predetermined threshold value which, for example, may be one second, and produces a warning signal WS at its output A10 if the time difference value Δt is greater than the predetermined threshold value; the warning

If a stored delay value V is already available, the procedure for forming the switching-off signal is different to that described above; this is because, in addition, the stored delay value V , as determined in the respective previously carried out drive switching-off cycle, is also taken into account in the calculation of the recommended drive switching-off time. Specifically, an auxiliary switching-off time is initially determined once for this purpose, to be precise using the nominal arrival time t_0 , the location measured value S , the location S_0 of the next stop, the speed V and the coasting data AD , possibly together with any predetermined minimum speed and any predetermined braking profile; the auxiliary switching-off time is in this case determined in the same way as the determination of the recommended switching-off time when no

30 Once the recommended drive switching-off time $t_{ab,nom}$ has been determined, the switching-off signal is produced in the manner already described in item 1 "Initial operation of the device 5".

Patent Claims

1. A device (5) for a rail vehicle having
- a control unit (10), which
- determines the distance between the rail vehicle and the respective intended next stop using a measured location measured value (S), which indicates the location of the rail vehicle, and predetermined, stored route data,
- determines the remaining traveling time to the next stop using a measured time measured value (t), which indicates the respective time, and a predetermined, stored timetable, and
- forms a recommended drive switching-off time (tab,nom) taking account of the determined distance, of the determined remaining traveling time, of a speed measured value (V) which indicates the speed of the rail vehicle, and predetermined coasting data (AD), which describes the coasting behavior of the rail vehicle when the drive is switched off, from which drive switching-off time (tab,nom) the rail vehicle will reach the intended next stop on time in accordance with the respective timetable without being driven, and
- having an output device (30) which is connected to the control unit (10) and is driven by it, and which produces a switching-off signal which indicates the recommended drive switching-off time (tab,nom),
- characterized
- in that the device (5) has a data input (E5) at which an actual value signal (Si) which indicates the actual drive switching-off time (tab,act) can be entered in the device (5), with the actual drive switching-off time (tab,act) indicating that time at which

WO 01/21465

PCT/DE00/03320

- 16 -

the drive was actually switched off after the switching-off signal was produced, and

- in that the control unit (10) has a memory in which

5 - it stores the actual drive switching-off time and the respectively associated, recommended drive switching-off time (tab,act; tab,nom), for evaluation.

10 2. The device as claimed in claim 1, characterized in that

- the control unit (10) is designed such that it
- forms a time difference value by forming the difference between the actual drive switching-off time and the respectively associated recommended drive switching-off time (tab,act; tab,nom).

20 3. The device as claimed in claim 2, characterized in that

- the control unit (10) has an output (A10) and is designed such that it
- produces a warning signal (WS) at its output when the time difference value exceeds a predetermined threshold value.

4. The device as claimed in claim 2 or 3, characterized in that

- the control unit (1) is designed such that it
30 - forms a delay value using at least the respectively most recently formed time difference value, and
- determines the respectively most recent recommended drive switching-off time
35 furthermore taking into account this delay value which has been formed.

5. The device as claimed in claim 4,

WO 01/21465

PCT/DE00/03320

- 17a -

predetermined, stored route data are used to
determine the distance between the rail

vehicle and the respectively intended next stop,

- a measured time measured value (t), which indicates the respective time, and a predetermined, stored timetable are used to determine the remaining traveling time to the next stop, and

- taking account of the determined distance, the determined remaining traveling time, a speed measured value (V) which indicates the speed of the rail vehicle, and predetermined coasting data (AD), which describes the coasting behavior of the rail vehicle when the drive is switched off, a recommended drive switching-off time ($t_{ab,nom}$) is formed, from which the rail vehicle will reach the intended next stop on time in accordance with the respective timetable without being driven, and

- a signal which indicates the recommended drive switching-off time is produced as the switching-off signal,

characterized in that

- the actual drive switching-off time is determined at which the drive was actually switched off after production of the switching-off signal, and

- a time difference value is in each case formed by forming the difference between the actual drive switching-off time and the respective recommended drive switching-off time (tab,act; tab,nom).

8. The method as claimed in claim 7,
characterized in that

- a warning signal is produced if the time difference value exceeds a predetermined threshold value.

WO 01/21465

PCT/DE00/03320

- 18a -

9. The method as claimed in claim 7 or 8,
characterized in that

- a delay value is formed using at least the respective most recently formed time difference value, and
 - the respective most recent recommended drive switching-off time is determined furthermore taking into account this delay value which has been formed.
- 5
10. The method as claimed in claim 9,
- 10 characterized in that
- taking account of the determined distance, the determined remaining traveling time, a speed measured value (V) which indicates the speed of the rail vehicle, and predetermined coasting data (AD), which describes the coasting behavior of the rail vehicle when the drive is switched off, an auxiliary switching-off time is first of all calculated from which the rail vehicle will reach the intended next stop on time in accordance with the respective timetable without being driven, and then
 - by forming the difference between the auxiliary switching-off time and the delay value, an advanced drive switching-off time is determined, and the advanced drive switching-off time is treated as the respective most recent recommended drive switching-off time.
- 15
- 20
- 25
11. The method as claimed in one of the preceding claims 7 to 10,
- 30 characterized in that
- the recommended drive switching-off time is determined by additionally taking into account a predetermined braking profile and a predetermined minimum speed which, if undershot, would result in the rail vehicle being braked in accordance with the predetermined braking
- 35

WO 01/21465

PCT/DE00/03320

- 19a -

profile in the phase when it is approaching the
next stop without being driven.

12. An arrangement having a device (5) as claimed in one of claims 1 to 6 and having an evaluation device which is connected to a data output (D10) of the device (5),
 - 5 - which evaluation device reads from the device (5) data signals which indicate the stored actual drive switching-off time and the respective associated, recommended drive switching-off time (tab,act; tab,nom), and
 - 10 - forms a time difference value by forming the difference between the actual drive switching-off time and the associated recommended drive switching-off time (tab,act; tab,nom).
- 15 13. The arrangement as claimed in claim 12, characterized in that
 - the evaluation device is an evaluation device on the track side.

(12) NACH DEM VERTRAG ÜBER DIE INTERNATIONALE ZUSAMMENARBEIT AUF DEM GEBIET DES
PATENTWESENS (PCT) VERÖFFENTLICHTE INTERNATIONALE ANMELDUNG

(19) Weltorganisation für geistiges Eigentum
Internationales Büro



(43) Internationales Veröffentlichungsdatum
29. März 2001 (29.03.2001)

PCT

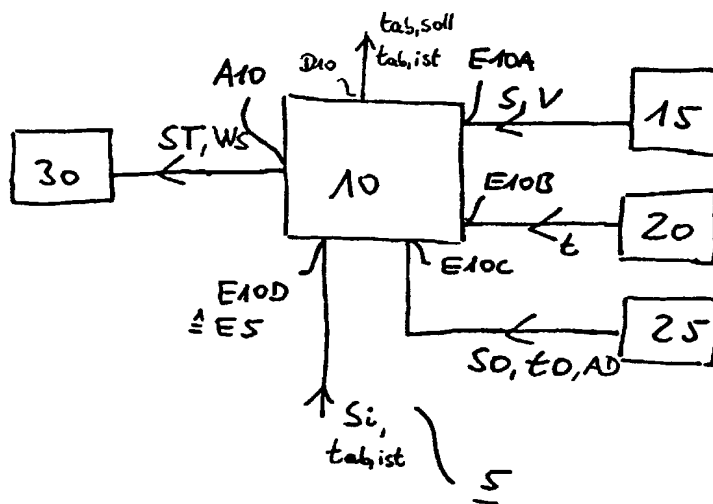
(10) Internationale Veröffentlichungsnummer
WO 01/21465 A1

- (51) Internationale Patentklassifikation⁷: **B61L 3/00, B60L 15/20**
- (21) Internationales Aktenzeichen: **PCT/DE00/03320**
- (22) Internationales Anmeldedatum:
20. September 2000 (20.09.2000)
- (25) Einreichungssprache: **Deutsch**
- (26) Veröffentlichungssprache: **Deutsch**
- (30) Angaben zur Priorität:
199 46 224.0 22. September 1999 (22.09.1999) **DE**
- (71) Anmelder (für alle Bestimmungsstaaten mit Ausnahme von US): **SIEMENS AKTIENGESELLSCHAFT [DE/DE];**
Wittelsbacherplatz 2, 80333 München (DE).
- (72) Erfinder; und
(75) Erfinder/Anmelder (nur für US): **BAIER, Thorsten [DE/DE];** Jahnstrasse 14b, 38315 Schladen (DE).
- (74) Gemeinsamer Vertreter: **SIEMENS AKTIENGESELLSCHAFT; Postfach 22 16 34, 80506 München (DE).**
- (81) Bestimmungsstaaten (national): **AU, CN, MX, US, ZA.**
- (84) Bestimmungsstaaten (regional): **europäisches Patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).**
- Veröffentlicht:
— Mit internationalem Recherchenbericht.
— Vor Ablauf der für Änderungen der Ansprüche geltenden Frist; Veröffentlichung wird wiederholt, falls Änderungen eintreffen.

[Fortsetzung auf der nächsten Seite]

(54) Title: **DEVICE AND METHOD FOR SAVING MOTIVE ENERGY IN RAIL VEHICLES**

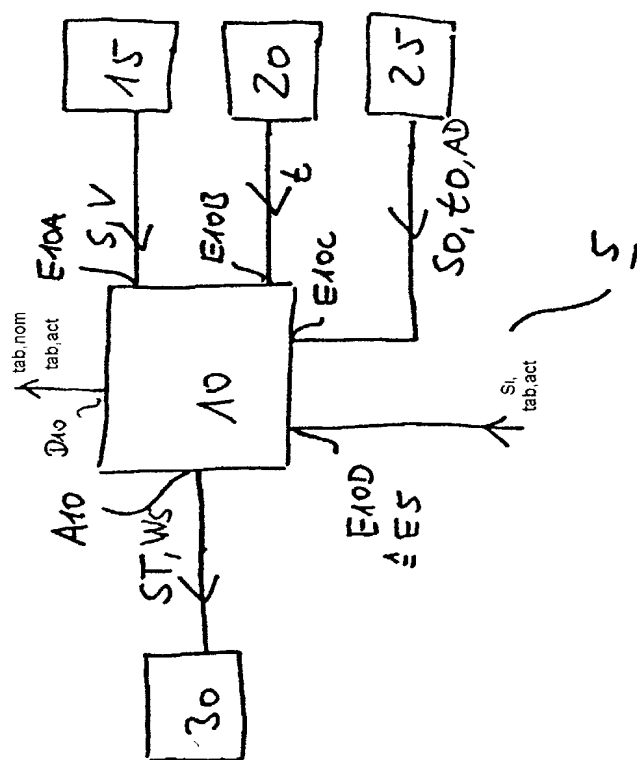
(54) Bezeichnung: **EINRICHTUNG UND VERFAHREN ZUM EINSPAREN VON FAHRENERGIE BEI SCHIENENFAHRZEUGEN**



(57) Abstract: The invention relates to, among other things, a device (5) for a rail vehicle that comprises a control unit (10), which calculates a switch off time using given data and measured values after which the coasting rail vehicle arrives on time at the next stop stipulated by the time-table while adhering to the same. The aim of the invention is to make it possible to reliably detect deviations of the actual travelling characteristics from travelling characteristics recommended by the device. To this end, the invention provides that the device (5) comprises a data input (E5) at which an actual value signal (Si) stating the actual drive switch off time can be input into the device (5), whereby the actual drive switch off time indicates the time at which the drive was actually switched off after the generation of the switch off signal. In addition, the invention provides that the control unit (10) has a memory in which it stores the actual and the respectively assigned recommended drive switch off time (tab, ist; tab, soll) for evaluation.

[Fortsetzung auf der nächsten Seite]

WO 01/21465 A1



Fig

Declaration and Power of Attorney For Patent Application

Erklärung Für Patentanmeldungen Mit Vollmacht

German Language Declaration

Als nachstehend benannter Erfinder erkläre ich hiermit an Eides Statt:

dass mein Wohnsitz, meine Postanschrift, und meine Staatsangehörigkeit den im Nachstehenden nach meinem Namen aufgeführten Angaben entsprechen,

dass ich, nach bestem Wissen der ursprüngliche, erste und alleinige Erfinder (falls nachstehend nur ein Name angegeben ist) oder ein ursprünglicher, erster und Miterfinder (falls nachstehend mehrere Namen aufgeführt sind) des Gegenstandes bin, für den dieser Antrag gestellt wird und für den ein Patent beantragt wird für die Erfindung mit dem Titel:

Einrichtung und Verfahren zum
Einsparen von Fahrenergie bei
Schienenfahrzeugen

deren Beschreibung

(zutreffendes ankreuzen)

☐ hier beigefügt ist.

☒ am 20.09.2000 als

PCT internationale Anmeldung

PCT Anmeldungsnummer PCT/DE00/03320

eingereicht wurde und am 17.09.2001

abgeändert wurde (falls tatsächlich abgeändert).

Ich bestätige hiermit, dass ich den Inhalt der obigen Patentanmeldung einschliesslich der Ansprüche durchgesehen und verstanden habe, die eventuell durch einen Zusatzantrag wie oben erwähnt abgeändert wurde.

Ich erkenne meine Pflicht zur Offenbarung irgendwelcher Informationen, die für die Prüfung der vorliegenden Anmeldung in Einklang mit Absatz 37, Bundesgesetzbuch, Paragraph 1.56(a) von Wichtigkeit sind, an.

Ich beanspruche hiermit ausländische Prioritätsvorteile gemäss Abschnitt 35 der Zivilprozessordnung der Vereinigten Staaten, Paragraph 119 aller unten angegebenen Auslandsanmeldungen für ein Patent oder eine Erfindersurkunde, und habe auch alle Auslandsanmeldungen für ein Patent oder eine Erfindersurkunde nachstehend gekennzeichnet, die ein Anmeldedatum haben, das vor dem Anmeldedatum der Anmeldung liegt, für die Priorität beansprucht wird.

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

DEVICE AN METHOD FOR SAVING
MOTIVE ENERGY IN RAIL VEHICLES

the specification of which

(check one)

☐ is attached hereto.

☒ was filed on 20.09.2000 as

PCT international application

PCT Application No. PCT/DE00/03320

and was amended on 17.09.2001

(if applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

German Language Declaration

Prior foreign applications
Priorität beansprucht

Priority Claimed

19946224.0

DE

1999.09.22

☒

☐

(Number)
(Nummer)

(Country)
(Land)

(Day Month Year Filed)
(Tag Monat Jahr eingereicht)

Yes
Ja

No
Nein

(Number)
(Nummer)

(Country)
(Land)

(Day Month Year Filed)
(Tag Monat Jahr eingereicht)

☐

Yes
Ja

☐

No
Nein

(Number)
(Nummer)

(Country)
(Land)

(Day Month Year Filed)
(Tag Monat Jahr eingereicht)

☐

Yes
Ja

☐

No
Nein

Ich beanspruche hiermit gemäss Absatz 35 der Zivilprozessordnung der Vereinigten Staaten, Paragraph 120, den Vorzug aller unten aufgeführten Anmeldungen und falls der Gegenstand aus jedem Anspruch dieser Anmeldung nicht in einer früheren amerikanischen Patentanmeldung laut dem ersten Paragraphen des Absatzes 35 der Zivilprozessordnung der Vereinigten Staaten, Paragraph 122 offenbart ist, erkenne ich gemäss Absatz 37, Bundesgesetzbuch, Paragraph 1.56(a) meine Pflicht zur Offenbarung von Informationen an, die zwischen dem Anmeldedatum der früheren Anmeldung und dem nationalen oder PCT internationalen Anmeldedatum dieser Anmeldung bekannt geworden sind.

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §122, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application.

(Application Serial No.)
(Anmeldeseriennummer)

(Filing Date D, M, Y)
(Anmeldedatum T, M, J)

(Status)
(patentiert, anhängig,
aufgegeben)

(Status)
(patented, pending,
abandoned)

PCT/DE00/03320
(Application Serial No.)
(Anmeldeseriennummer)

20.09.2001
(Filing Date D,M,Y)
(Anmeldedatum T, M, J)

anhängig
(Status)
(patentiert, anhängig,
aufgeben)

Pending
(Status)
(patented, pending,
abandoned)

Ich erkläre hiermit, dass alle von mir in der vorliegenden Erklärung gemachten Angaben nach meinem besten Wissen und Gewissen der vollen Wahrheit entsprechen, und dass ich diese eidesstattliche Erklärung in Kenntnis dessen abgebe, dass wissentlich und vorsätzlich falsche Angaben gemäss Paragraph 1001, Absatz 18 der Zivilprozessordnung der Vereinigten Staaten von Amerika mit Geldstrafe belegt und/oder Gefängnis bestraft werden koennen, und dass derartig wissentlich und vorsätzlich falsche Angaben die Gültigkeit der vorliegenden Patentanmeldung oder eines darauf erteilten Patentes gefährden können.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

THE UNIVERSITY OF CHICAGO

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. (list name and registration number)

And I hereby appoint

Direct Telephone Calls to: (name and telephone number)

Ext. _____

Send Correspondence to:

Customer No. 25227

(Supply similar information and signature for third and subsequent joint inventors).